

General information about company	
Scrip code	532729
Name of the company	UTTAM SUGAR MILLS LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2017
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes
7	Whether company has equity shares with differential voting rights?	No

Table I - Summary Statement holding of specified securities											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	19	28603585			28603585	75	28603585		28603585	75
(B)	Public	15612	9534535			9534535	25	9534535		9534535	25
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	15631	38138120			38138120	100	38138120		38138120	100

Table I - Summary Statement holding of specified securities										
Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VI)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				75			9484170	33.16	28603585
(B)	Public				25					9131593
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100			9484170	24.87	37735178

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	13	5272204			5272204	13.82	5272204		5272204	13.82
(d)	Any Other (specify)	6	23331381			23331381	61.18	23331381		23331381	61.18
Sub-Total (A)(1)		19	28603585			28603585	75	28603585		28603585	75
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		19	28603585			28603585	75	28603585		28603585	75
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(e)	Foreign Portfolio Investors	2	8155			8155	0.02	8155		8155	0.02
(f)	Financial Institutions/ Banks	2	19426			19426	0.05	19426		19426	0.05
Sub-Total (B)(1)		4	27581			27581	0.07	27581		27581	0.07
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	14974	2990376			2990376	7.84	2990376		2990376	7.84
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	30	4287217			4287217	11.24	4287217		4287217	11.24
(e)	Any Other (specify)	604	2229361			2229361	5.85	2229361		2229361	5.85
Sub-Total (B)(3)		15608	9506954			9506954	24.93	9506954		9506954	24.93
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		15612	9534535			9534535	25	9534535		9534535	25
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										

Total (A+B+C2)		15631	38138120			38138120	100	38138120		38138120	100
Total (A+B+C)		15631	38138120			38138120	100	38138120		38138120	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				13.82			3484170	66.09	5272204
(d)				61.18			6000000	25.72	23331381
Sub-Total (A)(1)				75			9484170	33.16	28603585
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				75			9484170	33.16	28603585
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(e)				0.02					8155
(f)				0.05					19426
Sub-Total (B)(1)				0.07					27581
(3)	Non-institutions								
(a(i))				7.84					2961134
(a(ii))				11.24					3913717
(e)				5.85					2229161
Sub-Total (B)(3)				24.93					9104012
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				25					9131593
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					37735178
Total (A+B+C)				100			9484170	24.87	37735178

Individuals/Hindu undivided Family							
Searial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	Raj Kumar Adlakha	Ranjan Adlakha	Shomna Adlakha	Ranjana Chopra	Rajan Adlakha	Bharat Adlakha	Saiesha Adlakha
PAN (II)	AJZPK7896C	AAEPA8800E	AEMPA3857P	AAFPC2296R	ADEPA1936C	AKPPA0317B	AKPPA4439G
No. of fully paid up equity shares held (IV)	1624610	1492550	870410	373300	367010	166500	166500
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+(V)+ (VI)	1624610	1492550	870410	373300	367010	166500	166500
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.26	3.91	2.28	0.98	0.96	0.44	0.44
Number of Voting Rights held in each class of securities (IX)							
Class eg:X	1624610	1492550	870410	373300	367010	166500	166500
Class eg:y							
Total	1624610	1492550	870410	373300	367010	166500	166500
Total as a % of Total Voting rights	4.26	3.91	2.28	0.98	0.96	0.44	0.44
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	4.26	3.91	2.28	0.98	0.96	0.44	0.44
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of Shares pledged or otherwise encumbered (XIII)							
No. (a)	1624610	1492550	0	0	367010	0	0

As a % of total Shares held (b)	100	100	0	0	100	0	0
Number of equity shares held in dematerialized form (XIV)	1624610	1492550	870410	373300	367010	166500	166500
Reason for not providing PAN							
Reason for not providing PAN							

Individuals/Hindu undivided Family						
Searial No.	8	9	10	11	12	13
Name of the Shareholders (I)	Rajni Babbar	Shanta	Jai Adlakha	Raj Kumar Adlakha- Karta of R.K.Sons HUF	Balram Adlakha	Sonia Adlakha
PAN (II)	ACCPB1027G	AMEPS8581J	AXUPA5278K	AAGHR4573R	AWTPA3967F	ACBPA8837E
No. of fully paid up equity shares held (IV)	139910	60000	4200	4104	3100	10
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+(V)+ (VI)	139910	60000	4200	4104	3100	10
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.37	0.16	0.01	0.01	0.01	0
Number of Voting Rights held in each class of securities (IX)						
Class eg:X	139910	60000	4200	4104	3100	10
Class eg:y						
Total	139910	60000	4200	4104	3100	10
Total as a % of Total Voting rights	0.37	0.16	0.01	0.01	0.01	0
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	0.37	0.16	0.01	0.01	0.01	0
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)	0	0	0	0	0	0

As a % of total Shares held (b)	0	0	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	139910	60000	4200	4104	3100	10
Reason for not providing PAN						
Reason for not providing PAN						

Individuals/Hindu undivided Family	
Serial No.	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of fully paid up equity shares held (IV)	5272204
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+(V)+ (VI)	5272204
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	13.82
Number of Voting Rights held in each class of securities (IX)	
Class eg:X	5272204
Class eg:y	
Total	5272204
Total as a % of Total Voting rights	13.82
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	13.82
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of Shares pledged or otherwise encumbered (XIII)	
No. (a)	3484170

As a % of total Shares held (b)	66.09
Number of equity shares held in dematerialized form (XIV)	5272204
Reason for not providing PAN	
Reason for not providing PAN	

Any Other (specify)						
Searial No.	1	2	3	4	5	6
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Name of the Shareholders (I)	Uttam Adlakha & Sons Holdings Private Limited	Lipi Boilers Private Limited	Uttam Industrial Engineering Private Limited	New Castle Finance And Leasing Pvt Ltd	Uttam Sucrotech Limited	Shubham Sugars Limited
PAN (II)	AAACG0405E	AAACL1650L	AAACU1074B	AAACN0386K	AAACU4042K	AAICS3936L
No. of the Shareholders (I)	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	16301224	3515000	2750000	380650	314267	70240
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+(V)+ (VI)	16301224	3515000	2750000	380650	314267	70240
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	42.74	9.22	7.21	1	0.82	0.18
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	16301224	3515000	2750000	380650	314267	70240
Class eg:y						
Total	16301224	3515000	2750000	380650	314267	70240
Total as a % of Total Voting rights	42.74	9.22	7.21	1	0.82	0.18
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	42.74	9.22	7.21	1	0.82	0.18
Number of Locked in shares (XII)						

No. (a)						
As a % of total Shares held (b)						
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)	0	2950000	2750000	0	300000	0
As a % of total Shares held (b)	0	83.93	100	0	95.46	0
Number of equity shares held in dematerialized form (XIV)	16301224	3515000	2750000	380650	314267	70240
Reason for not providing PAN						

Any Other (specify)	
Serial No.	
Category	Click here to go back
Name of the Shareholders (I)	
PAN (II)	Total
No. of the Shareholders (I)	6
No. of fully paid up equity shares held (IV)	23331381
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+(V)+ (VI)	23331381
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	61.18
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	23331381
Class eg:y	
Total	23331381
Total as a % of Total Voting rights	61.18
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	61.18
Number of Locked in shares (XII)	
No. (a)	

As a % of total Shares held (b)	
Number of Shares pledged or otherwise encumbered (XIII)	
No. (a)	6000000
As a % of total Shares held (b)	25.72
Number of equity shares held in dematerialized form (XIV)	23331381
Reason for not providing PAN	

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.				
Serial No.	1	2	3	
Name of the Shareholders (I)	Anil Kumar Goel	Seema Goel	Yogesh Chopra	Click here to go back
PAN (II)	AAJPG2552Q	AHAPG8015A	ABGPC2316L	Total
No. of fully paid up equity shares held (IV)	1623281	720000	462300	2805581
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+(V)+ (VI)	1623281	720000	462300	2805581
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.26	1.89	1.21	7.36
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	1623281	720000	462300	2805581
Class eg:y				
Total	1623281	720000	462300	2805581
Total as a % of Total Voting rights	4.26	1.89	1.21	7.36
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	4.26	1.89	1.21	7.36
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized	1623281	720000	462300	2805581

form (XIV)				
Reason for not providing PAN				
Reason for not providing PAN				

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Trusts	HUF	HUF	NRI – Non-Repatriation	NRI – Repatriation	Clearing Members	Bodies Corporate
Category / More than 1 percentage	Category	Category	More than 1 percentage of shareholding	Category	Category	Category	Category
Name of the Shareholders (I)			Anil Kumar Goel Huf				
PAN (II)			AAAHA0208G				
No. of the Shareholders (I)	1	205	1	48	72	86	192
No. of fully paid up equity shares held (IV)	10	570377	428000	125563	29158	122765	1381488
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+(V)+ (VI)	10	570377	428000	125563	29158	122765	1381488
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	1.5	1.12	0.33	0.08	0.32	3.62
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	10	570377	428000	125563	29158	122765	1381488
Class eg:y							
Total	10	570377	428000	125563	29158	122765	1381488
Total as a % of Total Voting rights	0	1.5	1.12	0.33	0.08	0.32	3.62
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0	1.5	1.12	0.33	0.08	0.32	3.62

Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	10	570377	428000	125563	29158	122765	1381288
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)		
Serial No.	8	
Category	Bodies Corporate	
Category / More than 1 percentage	More than 1 percentage of shareholding	
Name of the Shareholders (I)	Vedicera Services Private Limited	Click here to go back
PAN (II)	AACCV8939L	Total
No. of the Shareholders (I)	1	604
No. of fully paid up equity shares held (IV)	771040	2229361
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	771040	2229361
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.02	5.85
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	771040	2229361
Class eg:y		
Total	771040	2229361
Total as a % of Total Voting rights	2.02	5.85
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.02	5.85
Number of Locked in shares (XII)		

No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	771040	2229161
Reason for not providing PAN		
Reason for not providing PAN		

